



## Edward Han

Partner, Litigation Department  
edwardhan@paulhastings.com

**Edward Han**, Vice Chair of the Palo Alto office, is a partner in the Litigation Department at Paul Hastings and a member of the Securities Litigation practice group. Mr. Han's practice focuses on securities enforcement matters, internal investigations, and securities and complex business litigation in both federal and state courts. He has extensive experience representing clients in shareholder class action lawsuits, derivative litigation, and commercial disputes. In addition, he has also represented clients in cases brought under California's Unfair Business Practices Act (Business & Professions Code Sections 17200 and 17500, *et seq.*) and Consumer Legal Remedies Act (Civil Code Section 1750, *et seq.*). Finally, Mr. Han has significant experience representing clients in investigations initiated by the Department of Justice and Securities and Exchange Commission, as well as other federal and state agencies and self-regulatory organizations, including investigations concerning the Foreign Corrupt Practices Act.

Mr. Han has been a speaker on securities litigation-related matters at a number of programs and seminars. He has also been quoted in various periodicals. Mr. Han has authored or co-authored numerous articles, including, most recently, those listed below.

### Recent Representations

- An online and mobile gaming company in an action filed by investors in an initial coin offering
- The managing member and related members in a real estate investment limited liability company in a dispute against other members related to the sale of an office building
- An audit committee of a board of directors of a company that provides electronic and mobile payment solutions for self-service, point of sale market clients in conducting an internal investigation related to revenue recognition and sales practices issues
- A real estate investment limited liability company and its managing member in

### Palo Alto

T: 1(650) 320-1813  
F: 1(650) 320-1913

### San Francisco

T: 1(415) 856-7013

### Practice Areas

Investigations and White  
Collar Defense  
Securities Litigation  
Litigation

### Admissions

California Bar

### Education

UC Hastings College of the  
Law, J.D. 1998  
University of California, Davis,  
B.A. 1994

## Edward Han (Continued)

an action filed by a member alleging fraud, breach of fiduciary duty, and derivative claims related to distributions and the sale and management of an office building

- An audit committee of a board of directors of a company that provides tax-advantaged consumer-directed health, commuter, and employee spending benefits in conducting an internal investigation related to revenue recognition issues
- A biotechnology company in responding to a books and records demand and proxy challenge from an activist investor
- A global investment bank in litigation matters related to strategic and financial advice concerning various mergers and acquisitions
- An audit committee of a board of directors of a renewable energy company in conducting an internal investigation related to the company's cash forecasting and liquidity
- An officer of a China-based company in a securities class action lawsuit, as well as investigations, related to the financial statements and customer relationships disclosed by the company
- A Philippines-based company in lawsuits filed in state and federal court related to a terminated stock purchase agreement
- An oil and gas company in multiple class actions and derivative litigation related to FCPA issues
- A controlling shareholder in multiple class action lawsuits related to a going private transaction
- A valve manufacturing company in an SEC investigation related to FCPA and revenue recognition issues concerning a former foreign subsidiary
- An international law firm in an action filed by an SEC Receiver arising out of an alleged multi-billion dollar Ponzi scheme
- A molecular diagnostics company and its directors and officers in a series of lawsuits filed by shareholders related to an annual meeting and threatened proxy contest
- A provider of printed circuit board engineering and manufacturing services and its board of directors in class action lawsuits filed by shareholders related to the company's merger with a technology company
- An Internet infrastructure services provider and its board of directors in a derivative action related to executive compensation and compliance with IRS rules
- A hedge fund in multiple insider trading investigations
- The former officers and directors of a cable partnership in a securities class action lawsuit related to the sale of the partnership's assets
- An investment bank in multiple securities fraud lawsuits related to the sale of

## Edward Han (Continued)

mortgage-backed securities

- A special committee of an audio entertainment company in conducting an internal investigation related to allegations concerning implementation of a new ERP system
- A water valve manufacturing company with foreign subsidiaries in conducting an internal investigation related to potential FCPA violations
- A hedge fund in conducting an internal investigation related to allegations from a foreign securities regulator of inappropriate trading activities involving foreign securities
- A hedge fund and its investment officers in a lawsuit brought by an investor relating to a private company investment
- An audit committee of a board of directors of a company that provides wireless broadband access solutions in conducting an internal investigation related to revenue recognition issues
- A semiconductor manufacturing company in an SEC investigation related to disclosure and expense reimbursement issues
- A hedge fund and its chief investment officer in an insider trading investigation
- An engineering and construction services company in an internal investigation related to potential FCPA violations
- A special committee of an internet security services provider in conducting an internal investigation related to revenue recognition issues
- An internet infrastructure services provider in DOJ and SEC investigations, as well as derivative actions, related to options backdating allegations
- An internet automobile services-related company and its directors and officers in securities class action and derivative lawsuits, as well as M&A-related actions, arising from a multi-year restatement
- The largest energy provider in the Midwest and several of its subsidiaries in an SEC investigation, as well as in securities class action and derivative lawsuits, arising from an over \$800 million dollar restatement
- The largest computer retailer in the country in a series of lawsuits brought under California's Unfair Business Practices Act arising from allegations of deceptive sales practices

### **Accolades and Recognitions**

- Recognized by Northern California Super Lawyers as a "Rising Star" in securities litigation

### **Speaking Engagements and Publications**

- "Hedge Fund Report - Summary of Key Developments," Paul Hastings (Biannual updates 2009-present).

## Edward Han (Continued)

- "Post-Closing Claims Alleging Uninformed and Coerced Stockholder Vote Survive Motion to Dismiss," Stay Current Client Alert from Paul Hastings (April 2017).
- "Delaware Courts Dismiss Records Action," Daily Journal (October 2016).
- "Why Section 11 Class Actions Are Proliferating in California," Law360 (April 2015).
- "Oregon Pub. Employees Ret. Fund v. Apollo Grp. Inc.: Ninth Circuit Applies Heightened Pleading Standards to All Elements of Securities Fraud Actions," Stay Current Client Alert from Paul Hastings (December 2014), reprinted in Law360 (December 2014).
- "SEC's Guilt Admission Policy May Bring Pricey Trials," Law360 (July 2013).
- "In re Pacific Pictures Corp.: Ninth Circuit Rejects 'Selective Waiver' of Attorney-Client Privilege," Stay Current Client Alert from Paul Hastings (April 2012).
- "An Investment Adviser Cannot Be Sued in Private Action Under Rule 10b-5 for Inaccurate Statements in a Mutual Fund Prospectus," Stay Current Client Alert from Paul Hastings (June 2011).
- "Supreme Court to Review Direct Liability of Investment Advisor for Prospectus Disclosure," Stay Current Client Alert from Paul Hastings (August 2010).
- "SEC Renews Focus on Regulation Fair Disclosure," Daily Journal (July 2010).
- "Supreme Court Upholds Constitutionality of the Public Company Accounting Oversight Board, Requires Changes to its Oversight Structure But Declines to Invalidate SOX," Stay Current Client Alert from Paul Hastings (July 2010).
- "Merck & Co. Inc. v. Reynolds: Supreme Court Clarifies When 2-Year Limitations Period for Securities Fraud Begins to Run," Stay Current Client Alert from Paul Hastings (May 2010).
- "South Cherry Street, LLC v. Hennessee Group LLC: Investment Advisor not Liable for Securities Fraud in Recommending Hedge Fund that Was Part of a Ponzi Scheme," Stay Current Client Alert from Paul Hastings (August 2009.)
- "Approval of Class Action Settlements by the Amsterdam Court of Appeals Closes Chapter on One 'F-Cubed' Securities Litigation, But the Future of Such Actions Remains Uncertain," Stay Current Client Alert from Paul Hastings (June 2009).
- "Supreme Court to Rule on Constitutionality of SOX's Public Company Accounting Oversight Board: Free Enterprise Fund, et al. v. PCAOB, et al.," Stay Current Client Alert from Paul Hastings (June 2009), reprinted in Financial Fraud Law Report (September 2009).
- "Digimarc: Raising Pleading Requirements," Securities Law360 (February 2009).
- "The Rise and Fall of U.S. Capital Markets: The Potential Impact of Recent

## Edward Han (Continued)

Developments in U.S. and Foreign Securities Law," Bloomberg Law Reports, Securities Law (February 2008).

### Education

- University of California, Hastings College of the Law, J.D., 1998
- University of California at Davis, A.B., 1994

### News

#### November 15, 2016

Samsung Electronics Acquires HARMAN for US\$8 Billion

#### November 01, 2013

Paul Hastings Represents David Murdock in Historic Second Going Private Transaction for Dole Food Company

#### November 16, 2012

Paul Hastings Represents Vermillion Inc. in Successful Defeat of Shareholder Lawsuit

### Insights

#### April 06, 2017

Post-Closing Claims Alleging Uninformed and Coerced Stockholder Vote Survive Motion to Dismiss

#### December 21, 2015

Hedge Fund Report: Summary of Key Developments - Fall 2015

#### June 01, 2015

Hedge Fund Report - Summary of Key Developments - Spring 2015

#### December 23, 2014

Hedge Fund Report—Summary of Key Developments—Fall 2014

#### December 18, 2014

*Oregon Pub. Employees Ret. Fund v. Apollo Grp. Inc.*: Ninth Circuit Applies Heightened Pleading Standards to All Elements of Securities Fraud Actions

#### May 16, 2014

## **Edward Han** (Continued)

Hedge Fund Report - Summary of Key Developments - Spring 2014

### **October 09, 2013**

Hedge Fund Report: Summary of Key Developments - Fall 2015

### **July 23, 2013**

SEC's Guilt Admission Policy May Bring Pricey Trials

### **April 25, 2013**

Hedge Fund Report - Summary of Key Developments - Spring 2013

### **December 05, 2012**

Hedge Fund Report - Summary of Key Developments - Fall 2012

### **April 25, 2012**

In re Pacific Pictures Corp.: Ninth Circuit Rejects Selective Waiver of Attorney-Client Privilege

### **June 14, 2011**

An Investment Adviser Cannot Be Sued in Private Action Under Rule 10B-5 for Inaccurate Statements in a Mutual Fund Prospectus

### **April 25, 2011**

Hedge Fund Report Summary of Key Developments - Spring 2011

### **August 02, 2010**

Supreme Court to Review Direct Liability of Investment Adviser for Prospectus Disclosure

### **July 06, 2010**

Supreme Court Upholds Constitutionality of the Public Company Accounting Oversight Board, Requires Changes to its Oversight Structure But Declines to Invalidate SOX

### **May 04, 2010**

*Merck & Co., Inc. v. Reynolds*: Supreme Court Clarifies When 2-Year Limitations Period for Securities Fraud Begins to Run

### **August 10, 2009**

Investment Advisor Not Liable for Securities Fraud in Recommending Hedge Fund That Was Part of a Ponzi Scheme

## Edward Han (Continued)

### **June 25, 2009**

Approval of Class Action Settlement by the Amsterdam Court of Appeals Closes  
Chapter on One F Cubed Securities Litigation

### **June 15, 2009**

Supreme Court to Rule on Constitutionality of SOX's Public Company  
Accounting Oversight Board

### **April 06, 2006**

Court Weighs Whether Mergers Wipe Out Derivative Actions. By John A.  
Reding, Edward Han and Sean D. Unger. *Daily Journal*. April 6, 2006.

### **March 30, 2006**

The Supreme Court Rejects Attempt To Assert State Law Holder Class Actions