

## Our London Financial Services Practice



### Overview

Paul Hastings London has a well-established Financial Services practice that advises a wide range of clients on regulations, contracts, transactions and projects in the rapidly evolving world of financial services, payments, asset management and funds, consumer and wholesale finance and banking.

We help clients to stay up to date with the latest UK/EU regulatory and policy developments. We assist our clients in their engagement with regulators across Europe, including the Financial Conduct Authority, the BaFin and the ACPR. We also maintain close relationships with regulators in the US, which enable us to stay abreast of policy changes that may impact Europe.

Together, this gives us a holistic view of the market, insight as to the next generation models in the pipeline, and a sophisticated understanding of how a broad range of existing and new business and product models are affected by current and future regulations.

### Banking, FinTech, Payments and Consumer Credit

We act for the full range of participants in these industries, such as banks and credit institutions, payment institutions, electronic money issuers, mobile operators, card issuers, schemes, acquirers, consumer lenders, technology companies and online retailers. We are working with clients in the FinTech sector to obtain licences for digital banking and crypto currency businesses.

### Assets Management and Funds

Together with our market leading funds practice, we represent a number of major US, EU and UK based investment and fund management groups. Our team advises on all regulatory aspects relating to the operation of investment management and investment advisory businesses, including AIFMD, MiFID II and marketing requirements. We regularly advise clients on fund structures, CLO portfolio management arrangements and issues on Brexit, including marketing and delegation of investment advice and portfolio management to the UK.

### Regulatory Compliance and Enforcement

We specialise in advising clients on their relationship with regulators both in a supervisory context and in regulatory enforcement cases. We work with a number of global financial institutions to develop, enhance and maintain their compliance monitoring tools and programmes. We advise on all areas of regulatory compliance, including payment and investment services, portfolio management, anti-money laundering, bribery and corruption, outsourcing and financial sanctions. We have advised clients on the launches of new products and services, impact of Brexit on their businesses and have implemented restructuring plans to ensure continuity of access to the EU/EEA markets. We work in collaboration with teams across the EU, USA and Asia to deliver coherent and clear multi-jurisdictional advice.

### Recent Representations

Advising investment and payment services firms on authorisation, regulatory structuring and interaction with regulators and legislators in the UK.

Advised a global service provider on expanding their services into a number of jurisdictions.

Advised a number of firms on their ICOs and navigating the regulatory landscape for cryptoassets.

Advised a US financial institution on licencing, regulatory, AML, data protection and other legal requirements applicable to their products and services in over 40 jurisdictions related .

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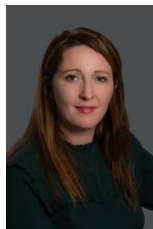
## Representative Clients



## Key Contacts



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