



Amy K Carpenter-Holmes

Partner, Litigation Department

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Amy Carpenter-Holmes is a partner in the White Collar Defense practice at Paul Hastings and is based in the firm's Washington, D.C. office. Ms. Carpenter-Holmes practices white-collar defense representing significant financial institutions, Fortune 500 companies, and senior executives in matters involving the Department of Justice ("DOJ"), the Securities and Exchange Commission ("SEC"), the New York Attorney General's Office, congressional committees, and other U.S. and foreign regulators in cases alleging securities fraud, bank fraud, corruption, and export control violations, as well as violations of criminal antitrust and privacy laws.

Ms. Carpenter-Holmes advises executive management and boards of directors on compliance with laws and regulations, conducts internal investigations on behalf of boards of directors and audit committees of major public corporations, and litigates on behalf of clients in federal court.

Chambers USA recognizes her as a "white collar attorney with notable experience in representing clients such as financial institutions in external and internal investigations" and notes that "she understands every aspect of a case, is very effective and will have the answer."

Ms. Carpenter-Holmes has spoken to audit committee members, in-house lawyers, and members of the white-collar bar on topics such as oversight of internal investigations, securities fraud, and corruption issues. Prior to joining Paul Hastings, Ms. Carpenter-Holmes was a partner at another international law firm and also clerked for the New York State Supreme Court, Appellate Division.

Recent Representations

- Representation of a multi-national technology company in investigations conducted by multiple U.S. government entities into allegations of sanctions and export control violations.
- Representation of a global media company in an investigation relating to a privacy breach and issues involving the General Data Protection Regulation

Washington, D.C.

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Practice Areas

Litigation
Securities Enforcement
Anti-corruption and FCPA
Antitrust and Competition
Investigations and White
Collar Defense

Admissions

District of Columbia Bar
New York Bar

Education

American University
Washington College of Law,
J.D. 1997
Cornell University, B.S. 1994

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("GDPR").

- Representation of a global, multi-billion dollar manufacturing company in investigations conducted by the DOJ, SEC, and foreign authorities into allegations of defrauding customers and end-users.
- Representation of a global bank in connection with investigations by multiple U.S. and foreign regulators into alleged irregularities in the setting of LIBOR interest rates.
- Representation of a national FinTech company in an investigation by the New York Attorney General's Office alleging violations of consumer protection laws.
- Representation of a national consulting company in an internal investigation into allegations of embezzlement by the controller. After the investigation, generated recommendations of internal controls and remedial compliance measures.
- Representation of a global financial institution in an investigation regarding allegations of securities fraud and corruption.
- Representation of a national non-profit organization in an internal investigation involving fraud allegations. Following this investigation, completed controls gap analysis and generated recommendation of ameliorated controls to address weaknesses.
- Representation of the former Executive Vice President and head of Fannie Mae's Single Family mortgage business against securities fraud charges filed by the SEC in the Southern District of New York. After three years of litigation, the SEC discontinued the case without obtaining any of the relief sought in its Complaint.
- Representation of two former Barclays traders in connection with market manipulation charges. Successfully challenged the entire agency's anti-manipulation enforcement regulation.
- Representation of several current and former employees of a U.S. broker-dealer in connection with investigations by the DOJ and SEC into the firm's trading activity, its compliance with broker-dealer regulations, and the adequacy of related disclosures.
- Representation of executives, many of them non-U.S. residents, of three different companies being investigated by the DOJ and SEC, as well as by foreign authorities for alleged improper payments made to foreign officials in Asia, South America, and the Middle East in violation of the Foreign Corrupt Practices Act ("FCPA") and other anti-corruption laws.
- Representation of a bank executive involved in the alleged hiring of the children of foreign government officials.
- Representation of numerous Fannie Mae executives in connection with investigations of Fannie Mae's FAS 91 and FAS 133 accounting conducted by

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the DOJ, SEC, Office of Federal Housing Enterprise Oversight (“OFHEO”), and the Special Review Committee of Fannie Mae’s Board of Directors.

- Representation of executives of a large financial institution in criminal and civil False Claims Act investigations by the U.S. Attorney’s Office for the Eastern District of Virginia, the DOJ, and an Inspector General.
- Representation of an investment management company in a high-profile public corruption investigation.
- Representation of employees of a Fortune 25 company in connection with DOJ, SEC, and audit committee investigations of accounting and financial reporting matters.
- Representation of numerous high-ranking Enron executives in connection with the multiple prosecutions and investigations related to that company. Our work included representing clients in investigations conducted by the DOJ’s Enron Task Force, SEC, several congressional committees, New York County District Attorney, Commodity Futures Trading Commission (“CFTC”), Federal Energy Regulatory Commission (“FERC”), Internal Revenue Service (“IRS”), Department of Labor, Enron Bankruptcy Examiner, and the Special Committee of the Board of Directors of Enron Corp. The issues ranged from broad allegations of accounting fraud and insider trading to technical allegations relating to commodities trading and ERISA.

Accolades and Recognitions

- Chambers USA, White Collar Crime and Government Investigations (District of Columbia), 2013–2015
- Super Lawyers, Washington, D.C. (2016–2020)

Speaking Engagements and Publications

- Moderator, Foreign Corruption Prosecutors —The View from Abroad, American Bar Association, November 2018.
- Speaker, SEC Enforcement Update, American Forest & Paper Association, General Counsel Meeting, September 2017
- Panelist, Commencing the Internal Investigation: Considerations at the Outset, PLI Internal Investigations, June 2017
- Co-Author, “An Executive’s Guide to Defeating the SOX 304 Clawback (Parts I and II),” Bloomberg Law, Corporate Law and Accountability Report, March 27, 2017
- Panelist, Insider Trading—The State of the Law in a Post-Newman/Post-Salman World, ABA’s 11th Annual National Institute on Securities Fraud, January 2017
- Co-author, “Global Financial Institutions and the Risks of International Tax

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- Evasion,"Journal of Taxation and Regulation of Financial Institutions, Vol. 29, No. 6 (July/August 2016)
- Co-Author, "Panama Papers: Banks Facing Liability For Client Tax Evasion,"Law360(May 2016)
- Co-Author, "The Panama Papers Problems: Global Financial Institutions Face Increasing Liability for Clients' Tax Evasion,"PH Insight(May 2016)
- Co-Author, "Calm Before the Storm: Financial Institutions Should Expect a Wave of Civil and Criminal Investigations Following the Next Panama Papers Release,"PH Insight(April 2016)
- Moderator, Women Corporate Directors, Process Access and Shareholder Activism, June 2016
- Speaker, Chief Legal Executive, Women in Corporate Governance Symposium, Creating New Strategies in a World of Increased Shareholder Influence, October 2015
- Speaker, Women Corporate Directors, Corporate Board Membership, Responsibilities and Risks, June 2015
- Faculty, Co-Author, "International Cooperation in Enforcement of the FCPA,"ABA Section of Litigation, Criminal Litigation Committee Newsletter(2009)
- Co-Author, "Prosecutorial Common Law? A Reality in the Absence of Timely Appellate Review,"ABA Section of Litigation, Criminal Litigation Committee Newsletter(2008)
- Co-Author, "Neither a Whitewash Nor a Witch-Hunt Be: Reclaiming the Independence of Internal Investigations,"The American Bar Association(2007)

Professional and Community Involvement

- Member, Edward Bennett Williams Inn of Court
- Member, Finance and Audit Committees, Burgundy Farm Country Day School
- Member, Women's White Collar Defense Association

Education

- American University, Washington College of Law, Juris Doctor, summa cum laude(1997)
- Cornell University, Bachelor of Science, with honors(1994)
- Admitted to practice in the District of Columbia and New York, and the U.S. District Court for the District of Columbia and the U.S. District Court for the Southern District of New York

News

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May 29, 2020

Paul Hastings Wins National Law Journal's Washington, D.C. White Collar Litigation Department of the Year Award

September 21, 2015

SEC Discontinues its Case Against Thomas A. Lund

May 20, 2015

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA 2015

February 23, 2015

Paul Hastings Continues White Collar Expansion with Addition of High-Profile Washington, D.C. Partner Group

February 17, 2015

Paul Hastings Investigations and White Collar Defense Practice Recognized as a Law360 Practice Group of the Year

June 02, 2014

Respected White Collar Team Joins Paul Hastings in Washington, DC

Insights

March 27, 2017

An Executive's Guide to Defeating the SOX 304 Clawback (Part 2)

March 27, 2017

An Executive's Guide to Defeating the SOX 304 Clawback (Part I)

May 02, 2016

The Panama Papers Problem: Global Financial Institutions Face Increasing Liability for Clients' Tax Evasion

April 29, 2016

Calm Before the Storm: Financial Institutions Should Expect a Wave of Civil and Criminal Investigations Following the Next Panama Papers Release

October 02, 2006

Neither A Whitewash Nor A Witch-Hunt Be: Reclaiming The Independence Of

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Internal Investigations

Rankings & Awards

May 27, 2020

Recognized as the National Law Journal's Washington, D.C. White Collar
Litigation Department of the Year

August 22, 2016

Ranked by Global Investigations Review's coverage of Washington DC's FCPA
Bar