



## Arun Srivastava

Partner, Corporate Department

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**Arun Srivastava** is a partner in the London office of Paul Hastings. He provides sound and comprehensive legal advice on regulatory requirements across Europe. Mr. Srivastava spent a year on secondment to the U.K. Financial Services Authority between April 1999 and April 2000.

Mr. Srivastava focuses his practice on helping regulated firms comply with evolving regulatory obligations, particularly in relation to innovation in the FinTech space. He has particular expertise in advising clients on the cross-border offering of products and services across the European Union including in relation to the use of national private placement regimes. Mr. Srivastava also advises clients on complex contentious financial services matters including regulatory investigations and disputes.

### Recent Representations

- Represented a global financial services institution on the implications of Brexit on its European operations and implementing a major restructuring across Europe to take account of Brexit, including obtaining licensing in the European Union.
- Represented the Financial Conduct Authority on Judicial Review proceedings relating to the role of Skilled Persons in the Interest Rate Hedging Product Review.
- Represented a U.K. regulated firm on a s.166 review of its governance arrangements and sales processes.
- Represented on the establishment of a U.K. crypto-currency exchange and authorization as an Electronic Money Institution.
- Represented a global custody bank on client money and segregation issues in multiple jurisdictions.
- Represented a U.K. wholesale markets business on the implementation of the Fourth Money Laundering Directive in the U.K. and across Europe.
- Represented a major funds group on the offering of an institutional insurance wrapped funds product across multiple jurisdictions.

### London

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### Practice Areas

Impact and Sustainability  
Bank Regulatory  
Fintech and Payments  
Europe  
Artificial Intelligence  
Financial Services

### Admissions

England and Wales Solicitor

### Education

The College of Law, Guildford,  
LSF 1989  
University of Southampton,  
LL.B. 1988

## Arun Srivastava (Continued)

### Accolades and Recognitions

- Recommended for Financial Services by Chambers UK 2020 and Legal 500 UK 2020:
- ‘As part of a broad-based regulatory practice, Arun Srivastava is best known for handling high-profile investigations. Interviewees value his "excellent understanding of the regulatory infrastructure.”
- ‘Arun Srivastava is “incredibly intelligent and extraordinarily commercial.”
- “Arun Srivastava is one of a kind and has one of the best financial services regulatory minds I have ever seen”
- “Arun Srivastava is a market leader in the FS regulatory space. He has excellent knowledge of investment services, payment services and other financial services regulatory issues, and is extremely adapt at client management”
- Recommended by Chambers U.K. for Banking Litigation; Financial Services: Contentious Regulatory; Financial Services: Non-contentious Regulatory; and Litigation
- Recommended by Legal 500 U.K. for Financial Services and Fintech

### Education

- College of Law at Guildford (L.S.F.), 1989
- University of Southampton (LL.B.), 1988

### News

#### September 13, 2019

Paul Hastings Wins "Managing Complexity and Scale" Award and Ranked #3 in Europe for Legal Expertise by the Financial Times

#### October 04, 2018

Teleperformance Completes Acquisition of Intelenet

#### June 11, 2018

Paul Hastings London Advance Continues with Top Financial Services Regulatory Partner

### Insights

#### February 11, 2020

Asset Management - The Rise of ESG and FCA Expectations for 2020

## **Arun Srivastava** (Continued)

**January 23, 2020**

The FCA Is Set to Continue Its Focus on the Asset Management Industry. Are You Prepared?

**January 06, 2020**

New U.K. Anti-Money Laundering and Counter Terrorist Financing Requirements for Cryptoasset Businesses – Are You Ready?

**October 02, 2019**

CFPB FinTech Regulatory Innovation Initiatives Echo International Regimes

**July 17, 2019**

FCA to Ban the Sale to Retail Clients of Investment Products that Reference Cryptoassets

**May 24, 2019**

FCA Criticise the Use of ARs in the Investment Management Sector – Principal Firms Must Review Arrangements Including in Relation to AIFM Hosting

**May 16, 2019**

International Guide to Money Laundering Law and Practice

**May 16, 2019**

AIFMD and Pre-Marketing – Helpful Clarification or an Additional Surveillance Camera?

**April 30, 2019**

Cryptoasset Industry to Be Regulated in the U.K. and EU

**April 09, 2019**

The EU Regulation of Crypto-assets—Fit for Purpose?

**February 13, 2019**

Post-Brexit Temporary Permissions Regime for Inbound EEA Passporting Firms and Funds and Contingency Planning for Outbound U.K. Fund Managers

**December 20, 2018**

FCA/PRA, UK Government and ESMA release new requirements for Securitisation Regulation

**October 11, 2018**

## **Arun Srivastava** (Continued)

U.K. Cyber-Security Fine—The FCA Sets a Precedent

**August 15, 2018**

Why Holding ABS Just Got Trickier: The EU Securitisation Regulation's Impact on EU and Non-EU Investors

**August 08, 2018**

New Obligations for U.K. Payment Institutions and E-Money Issuers

## **Rankings & Awards**

**September 13, 2019**

Paul Hastings Wins Award for Managing Complexity and Scale and Ranked #3 in Europe for Legal Expertise by the Financial Times