



Joyce Sophia Xu

Partner, Corporate Department

joycexu@paulhastings.com

Joyce Y. Xu is a partner in the Capital Markets practice and is based in the firm's New York office. Ms. Xu has broad experience advising on the structuring, negotiation, and documentation of equity, interest rate, currency, and commodity derivatives, as well as prime brokerage platforms. Her clients range from financial institutions and corporations to hedge funds and private equity funds.

Ms. Xu is a frequent presenter on various derivative products and documentation of derivatives at conferences. She has authored numerous articles and book chapters on derivatives products and regulations. For her book chapter titled, "U.S. Corporate and Regulatory Aspects of Equity Derivatives," in *Equity Derivatives: Documenting and Understanding Equity Derivative Products* (Globe Law & Business, Globe Business Publishing Ltd. 2009, pp. 357–384), she received the Burton Award for Achievement in Legal Writing. Ms. Xu also co-authored *Reflections on Dodd-Frank: A Look Back and a Look Forward*, a compendium of articles that review and analyze key rulemaking developments under the Dodd-Frank Act.

Accolades and Recognitions

- Named to Crain's 40 Under 40 list (2011)
- Awarded Asian American Business Development Center's Outstanding 50 Asian Americans Business Award
- Named one of the world's leading lawyers for business by Chambers Global (2011–2019) and Chambers USA (2011–2019)
- Recognized as a leading lawyer by IFLR1000

Speaking Engagements and Publications

- Author, "CFTC Chairman Pledges Support for LIBOR-to-SOFR Transition" Paul Hastings Client Alert (December 13, 2019)
- Author, "CFTC and SEC Charge Foreign Trading Platform Transacting in Security-Based Swaps and Commodity Futures with U.S. Investors" Paul Hastings Client Alert (November 8, 2019)

New York

T: 1(212) 318-6080

F: 1(212) 303-7080

Practice Areas

Securities and Capital
Markets
Derivatives
Corporate

Admissions

New York Bar

Education

Columbia Law School, J.D.
2000
The Pennsylvania State
University, B.S. 1997

Joyce Sophia Xu (Continued)

- Author, "Reporting for Duty: CFTC Clamps Down on Swap Reporting and Risk Management" Paul Hastings Client Alert (October 11, 2019)
- Author, "ARRC Releases Practical Implementation Checklist for SOFR Adoption" Paul Hastings Client Alert (October 1, 2019)
- Author, "SEC Adopts Capital, Margin, and Collateral Segregation Requirements for Security-Based Swaps" Paul Hastings Client Alert (August 8, 2019)
- Author, "SEC Proposes Action Regarding Cross-Border Application of Certain Security-Based Swap Rules" Paul Hastings Client Alert (June 11, 2019)
- Author, "ARRC Releases Recommended Fallback Language for Floating Rate Notes and Syndicated Loans" Paul Hastings Client Alert (May 23, 2019)
- Author, "ARRC Releases White Paper Regarding SOFR – The New U.S. Dollar LIBOR Alternative" Paul Hastings Client Alert (April 29, 2019)

Professional and Community Involvement

- Member of the ISDA Equity Derivatives Committee
- Member of the ISDA Accounting & Equity Working Group
- Member of the Derivatives and Structured Products Law Committee of the New York State Bar Association

Education

- Columbia Law School, J.D., 2000 (Harlan Fiske Stone Scholar)
- Pennsylvania State University, B.S., 1997 (University Scholars Program, Tau Beta Pi)

News

December 10, 2019

Lexington Realty Trust Files Prospectus Supplement for Future ATM Offerings

October 01, 2019

Paul Hastings Energizes Houston Transactional Practice with Partner Duo, Continues Global Lateral Surge

August 19, 2019

Paul Hastings Continues Corporate Growth Hot Streak, Adding Prominent Capital Markets Partner in Los Angeles

August 08, 2019

Paul Hastings' Leading Latin America Practice Welcomes Preeminent

Joyce Sophia Xu (Continued)

M&A/Capital Markets Team in São Paulo

May 02, 2019

Paul Hastings Global Derivatives Push Advances

May 01, 2019

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA
2019

February 22, 2019

Paul Hastings Continues Corporate Growth Hot Streak, Adding Top Tech M&A
Team in Silicon Valley

January 24, 2019

Paul Hastings Adds Trailblazing Derivatives Partner in New York

Insights

February 24, 2020

CFTC Approves New Proposed Position Limits Rule

February 06, 2020

ARRC Releases Vendor Survey and Buy-Side Checklist for Transition to SOFR

February 04, 2020

ARRC Releases Consultation on LIBOR-to-SOFR Spread Adjustment
Methodologies

December 13, 2019

CFTC Chairman Pledges Support for LIBOR-to-SOFR Transition

November 18, 2019

ARRC's Fifth Set of Recommended Fallback Language: Residential Adjustable-
Rate Mortgages

November 08, 2019

CFTC and SEC Charge Foreign Trading Platform Transacting in Security-Based
Swaps and Commodity Futures with U.S. Investors

November 01, 2019

Joyce Sophia Xu (Continued)

CFTC to Commence New Examination Program Targeting Swap Dealers and CPOs

October 25, 2019

Proposed Amendments to U.S. Prudential Regulators' Margin Rules for Non-Cleared Swaps

October 11, 2019

Reporting for Duty: CFTC Clamps Down on Swap Reporting and Risk Management

October 01, 2019

ARRC Releases Practical Implementation Checklist for SOFR Adoption

August 08, 2019

SEC Adopts Capital, Margin, and Collateral Segregation Requirements for Security-Based Swaps

June 17, 2019

Landmark ABP Deal Achieves First Amendment of LIBOR Legacy Bond to New Alternative Rate

June 11, 2019

SEC Proposes Action Regarding Cross-Border Application of Certain Security-Based Swap Rules

May 23, 2019

ARRC Releases Recommended Fallback Language for Floating Rate Notes and Syndicated Loans

April 29, 2019

ARRC Releases White Paper Regarding SOFR – The New U.S. Dollar LIBOR Alternative

Rankings & Awards

April 26, 2019

Recognized by Chambers USA 2019

February 14, 2019

Joyce Sophia Xu (Continued)

Recognized by Chambers Global 2019