



Michael R Rosella

Partner, Corporate Department
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Michael Rosella is a partner and Global Chair of the firm's Investment Management practice. Mr. Rosella resides in New York. Mr. Rosella's experience and client base in the investment management area covers a broad spectrum. His practice reflects a diversified array of investment company structures, including representation of sponsors of unit investment trusts, mutual funds, closed-end funds, business development companies, exchange traded funds, and private investment vehicles. Mr. Rosella also regularly advises investment advisers and broker-dealers on the many issues that embrace those businesses from an asset management perspective. His practice emphasizes the formation of these investment vehicles as well as the various legal issues that are particular to the investment management industry.

Recent Representations

- Invesco
- Morgan Stanley
- Eaton Vance
- Gabelli
- Brookfield Investment Management
- The Tocqueville Trust
- Reich & Tang
- Franklin Templeton

Speaking Engagements and Publications

- Publishes, speaks and is quoted regularly on issues and topics affecting the investment management industry
- Serves on the editorial board of the Journal of Investment Compliance

Professional and Community Involvement

- Advisory Board – Mutual Fund Directors Forum
- Founding member of the committee on investment management regulation of the Association of the Bar of the City of New York

New York

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Practice Areas

Impact and Sustainability
Private Investment Funds
Investment Management
Corporate

Michael R Rosella (Continued)

- Serves on the editorial board of the Journal of Investment Compliance.
- Director of the American Heart Association, New York City affiliate and is a member of its Leadership Committee
- Served on the Board of the Fordham Law School Alumni Association and on its Dean's Advisory Committee
- Served on the Board of Trustees of the Oratory Preparatory School in Summit, New Jersey where he also served on its Legal Committee
- Serves on the Parents Leadership Council of Boston College

Education

- Fordham University Law School, J.D., 1981
- Hofstra University, B.B.A., 1978 (summa cum laude)

News

December 24, 2019

Gabelli Multimedia Trust Closes Series G Cumulative Preferred Stock Offering

May 01, 2019

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA 2019

May 11, 2018

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA 2018

February 05, 2018

Brookfield Public Securities Group Completes Acquisition of Center Coast Capital Holdings and Certain Assets of Liberty Street Advisors and HRC

October 10, 2017

Brookfield Public Securities Group to Acquire Center Coast Capital Holdings and Certain Assets of Liberty Street Advisors and HRC

June 05, 2017

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA 2017

March 01, 2017

Teton Advisors Completes Acquisition of Keeley Asset Management

Michael R Rosella (Continued)

November 14, 2016

Teton Advisors to Acquire Keeley Asset Management

June 28, 2016

Paul Hastings Expands Investment Management Practice with Partner Additions
in New York and Los Angeles

June 08, 2016

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA
2016

November 30, 2015

GAMCO Investors, Inc. Spins Out Subsidiary Associated Capital Group, Inc.

November 10, 2015

Eaton Vance Distributors Launches Suite of First Unit Investment Trusts

May 20, 2015

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA
2015

August 15, 2014

Paul Hastings Represents Brookfield Investment Management Inc. in the
Reorganization of Five Closed-End Funds

May 27, 2014

Paul Hastings Practices and Lawyers Score Top Rankings in Chambers USA
2014

June 05, 2013

Paul Hastings Extensive Practice Strength Highlighted in The Legal 500 United
States 2013

May 27, 2013

Paul Hastings Employment Practice Honored with Chambers "Award for
Excellence" and Firms Leading Practices and Lawyers Ranked in Chambers
USA 2013

April 03, 2013

Paul Hastings Represents Reich and Tang in Agreement to Acquire \$4 Billion
Money Market Fund Assets from HighMark Capital Management

Michael R Rosella (Continued)

April 01, 2013

Paul Hastings Represents Brookfield Investment Management Inc. in \$420 Million Offering for Brookfield Mortgage Opportunity Income Fund Inc.

November 20, 2012

Paul Hastings Represents Brookfield Investment Management in \$75 Million Rights Offering

June 14, 2012

Paul Hastings Soars in 2012 Edition of Legal 500 US

June 07, 2012

Paul Hastings Excels in Chambers USA 2012 Guide

August 29, 2011

Paul Hastings Advises Brookfield Investment Managements Closed-end Infrastructure Fund on IPO

June 10, 2011

Paul Hastings Excels in Chambers USA 2011 Guide

June 01, 2011

Paul Hastings Soars in 2011 Edition of Legal 500 US

January 13, 2011

Paul Hastings Advises Invesco on Multiple UITs

June 14, 2010

Paul Hastings Garners Top Rankings in Chambers USA 2010 Guide

June 07, 2010

Paul Hastings Excels in 2010 Edition of Legal 500 US

January 23, 2007

Paul Hastings Acts as Counsel in Launch of New HealthShares Exchange Traded Funds

August 15, 2006

Paul Hastings Represents Crystal River Capital, Inc. in Connection with IPO

August 04, 2006

Michael R Rosella (Continued)

Paul Hastings Represents Crystal River Capital, Inc. in Connection with IPO

March 08, 2005

Paul Hastings Supports American Heart Association 90th Anniversary Event

Insights

March 26, 2020

PH COVID-19 Client Alert Series: Debt Buy-Backs in Covid-19 times

March 17, 2020

PH COVID-19 Client Alert Series: Regulatory and Practical Issues Facing
Registered Investment Companies and Investment Advisers

December 09, 2019

SEC Proposes to Modernize Regulation of the Use of Derivatives by Registered
Investment Companies

November 25, 2019

SEC Proposes to Modernize the Advertising and Cash Solicitation Rules for
Investment Advisers

October 02, 2019

SEC Adopts Long-Awaited "ETF Rule"

August 27, 2019

SEC Publishes New Proxy Voting Guidance

June 24, 2019

SEC Adopts Amendments to Auditor Independence Rule

June 13, 2019

SEC Adopts Rules & Interpretive Guidance Designed to Enhance and Clarify the
Obligations of Financial Professionals

July 02, 2018

SEC Proposes Rule Designed to Permit Certain ETFs to Operate Without an
Exemptive Order and Adopts Amendments to the Liquidity Rule

March 18, 2016

Michael R Rosella (Continued)

SEC's Division of Investment Management Releases Guidance on Risk Disclosures Related to Current Market Conditions

December 23, 2015

SEC Proposes Comprehensive Rule Governing Use of Derivatives by Investment Companies

December 21, 2015

Hedge Fund Report: Summary of Key Developments - Fall 2015

June 29, 2015

SEC Charges Investment Adviser and Mutual Fund Board Members with Failures in 15(C) Advisory Contract Approval Process

June 01, 2015

Hedge Fund Report - Summary of Key Developments - Spring 2015

April 30, 2015

SEC Releases Frequently Asked Questions Related to Valuation Guidance and to Money Market Fund Reforms Adopted in July 2014

December 23, 2014

Hedge Fund Report—Summary of Key Developments—Fall 2014

October 01, 2014

Report of Counsel on Recent Legal Developments -- Third Quarter 2014

July 02, 2014

SEC Issues Guidance Update Regarding Enhanced Mutual Fund Disclosure

May 16, 2014

Hedge Fund Report - Summary of Key Developments - Spring 2014

October 09, 2013

Hedge Fund Report: Summary of Key Developments - Fall 2015

August 16, 2013

CFTC Adopts Final Harmonization Rules

April 25, 2013

Hedge Fund Report - Summary of Key Developments - Spring 2013

Michael R Rosella (Continued)

April 22, 2013

SEC Chief Counsel Cautions Newly Registered Private Fund Advisers on Broker-Dealer Registration Issues Relating to In-House Marketing and Other Activities

April 18, 2013

SEC and CFTC Adopt Rules to Help Protect Investors from Identity Theft

April 16, 2013

What Boards Can Learn From the Morgan Keegan Case

January 07, 2013

Section 13 SEC Reporting by Advisers and Brokers and Section 16 SEC Reporting by "Insiders" of Public Companies

December 05, 2012

Hedge Fund Report - Summary of Key Developments - Fall 2012

September 06, 2012

SEC Proposes Rules Under JOBS Act Eliminating Prohibition Against General Solicitation and Advertising in Certain Reg. D/Rule 144A Offerings

November 28, 2011

SEC and CFTC Adopt Private Fund Adviser Reporting Form

July 27, 2011

Hedge Fund Report Summary of Rules Affecting Investments in Initial Public Offerings

July 05, 2011

SEC Finalizes Rules to Implement Dodd-Frank Act Regulation of Private Investment Funds and Their Managers

May 18, 2011

FINRA Proposes Revisions To Its Mutual Fund Cash Compensation Disclosure Rules

May 18, 2011

SEC To Adjust "Qualified Client" Dollar Thresholds For Investment Adviser Performance Fee Rule, Implementing Requirements Imposed By Dodd-Frank

Michael R Rosella (Continued)

April 25, 2011

Hedge Fund Report Summary of Key Developments - Spring 2011

February 09, 2011

Section 13 SEC Reporting by Advisers and Brokers and Section 16 SEC Reporting by Insiders of Public Companies

February 04, 2011

CFTC Proposes Amendments to Compliance Obligations of CPOs and CTAs

November 23, 2010

SEC Proposes Rules to Implement Dodd-Frank Act Regulation of Private Investment Funds and their Managers

October 29, 2010

Hedge Fund Report Summary of Key Developments Fall 2010

August 12, 2010

SEC Adopts New Brochure Requirement for Registered Advisers

August 06, 2010

SEC Proposes Reform of Mutual Fund Distribution Framework

July 26, 2010

A New Era in the Regulation of Private Investment Funds

June 29, 2010

SEC Proposes New Advertising Disclosure Requirements for Target-Date Funds

June 08, 2009

FINRA Rule Proposal Requires Delivery of Monthly Customer Account Statements by Members

April 16, 2009

Recent Technical Amendments to Form N-1A

February 06, 2009

Section 13 SEC Reporting by Advisers and Brokers and Section 16 SEC Reporting by Insiders of Public Companies

February 04, 2009

Michael R Rosella (Continued)

Hedge Fund Transparency Act

January 29, 2009

SEC Adopts "Summary Prospectus" and Related Rules Designed to Improve Mutual Fund Prospectus Disclosure

January 16, 2009

Annual Form ADV Requirements for Investment Advisers

November 03, 2008

Federal Reserve Announces Money Market Investor Funding Facility

October 02, 2008

Temporary Guarantee Program for Money Funds

October 17, 2007

Webinar Held on Rule 12b-1 Fees: Past, Present and Future

December 28, 2006

The Exchange-Traded Fund: A Time For Active Management? Bloomberg Law Reports: Fund Management. Vol. 2, No. 9, November, 2006.

November 16, 2005

Annual Form ADV Requirements for Investment Advisers

Rankings & Awards

April 26, 2019

Recognized by Chambers USA 2019

May 15, 2018

Recognized by Chambers USA 2018

June 05, 2017

Recognized by Chambers USA 2017

June 08, 2016

Recognized by Chambers USA 2016