



Arun Srivastava

Partner, Corporate Department

arunsrivastava@paulhastings.com

Arun Srivastava is a partner in the London office of Paul Hastings. He provides sound and comprehensive legal advice on regulatory requirements across Europe. Mr. Srivastava spent a year on secondment to the U.K. Financial Services Authority between April 1999 and April 2000.

Mr. Srivastava focuses his practice on helping regulated firms comply with evolving regulatory obligations, particularly in relation to innovation in the FinTech space. He has particular expertise in advising clients on the cross-border offering of products and services across the European Union including in relation to the use of national private placement regimes. Mr. Srivastava also advises clients on complex contentious financial services matters including regulatory investigations and disputes.

Recent Representations

- Represented a global financial services institution on the implications of Brexit on its European operations and implementing a major restructuring across Europe to take account of Brexit, including obtaining licensing in the European Union.
- Represented the Financial Conduct Authority on Judicial Review proceedings relating to the role of Skilled Persons in the Interest Rate Hedging Product Review.
- Represented a U.K. regulated firm on a s. 166 review of its governance arrangements and sales processes.
- Represented on the establishment of a U.K. crypto-currency exchange and authorization as an Electronic Money Institution.
- Represented a global custody bank on client money and segregation issues in multiple jurisdictions.
- Represented a U.K. wholesale markets business on the implementation of the Fourth Money Laundering Directive in the U.K. and across Europe.
- Represented a major funds group on the offering of an institutional insurance wrapped funds product across multiple jurisdictions.

London

T: 44-020-3023-5230

F: 44-020-3023-5330

Practice Areas

Bank Regulatory
Fintech and Payments
Europe
Artificial Intelligence
Financial Services

Admissions

England and Wales Solicitor

Education

The College of Law, Guildford,
LSF 1989
University of Southampton,
LL.B. 1988

Arun Srivastava (Continued)

Accolades and Recognitions

- Recommended for Financial Services by Chambers UK 2020 and Legal 500 UK 2020:
- ‘As part of a broad-based regulatory practice, Arun Srivastava is best known for handling high-profile investigations. Interviewees value his "excellent understanding of the regulatory infrastructure.”
- ‘Arun Srivastava is “incredibly intelligent and extraordinarily commercial.”’
- “Arun Srivastava is one of a kind and has one of the best financial services regulatory minds I have ever seen”
- “Arun Srivastava is a market leader in the FS regulatory space. He has excellent knowledge of investment services, payment services and other financial services regulatory issues, and is extremely adapt at client management”
- Recommended by Chambers U.K. for Banking Litigation; Financial Services: Contentious Regulatory; Financial Services: Non-contentious Regulatory; and Litigation
- Recommended by Legal 500 U.K. for Financial Services and Fintech

Education

- College of Law at Guildford (L.S.F.), 1989
- University of Southampton (LL.B.), 1988

News

September 13, 2019

Paul Hastings Wins "Managing Complexity and Scale" Award and Ranked #3 in Europe for Legal Expertise by the Financial Times

October 04, 2018

Teleperformance Completes Acquisition of Intelenet

June 11, 2018

Paul Hastings London Advance Continues with Top Financial Services Regulatory Partner

Insights

October 02, 2019

CFPB FinTech Regulatory Innovation Initiatives Echo International Regimes

Arun Srivastava (Continued)

July 17, 2019

FCA to Ban the Sale to Retail Clients of Investment Products that Reference Cryptoassets

May 24, 2019

FCA Criticise the Use of ARs in the Investment Management Sector – Principal Firms Must Review Arrangements Including in Relation to AIFM Hosting

May 16, 2019

International Guide to Money Laundering Law and Practice

May 16, 2019

AIFMD and Pre-Marketing – Helpful Clarification or an Additional Surveillance Camera?

April 30, 2019

Cryptoasset Industry to Be Regulated in the U.K. and EU

April 09, 2019

The EU Regulation of Crypto-assets—Fit for Purpose?

February 13, 2019

Post-Brexit Temporary Permissions Regime for Inbound EEA Passporting Firms and Funds and Contingency Planning for Outbound U.K. Fund Managers

December 20, 2018

FCA/PRA, UK Government and ESMA release new requirements for Securitisation Regulation

October 11, 2018

U.K. Cyber-Security Fine—The FCA Sets a Precedent

August 15, 2018

Why Holding ABS Just Got Trickier: The EU Securitisation Regulation's Impact on EU and Non-EU Investors

August 08, 2018

New Obligations for U.K. Payment Institutions and E-Money Issuers

Rankings & Awards

Arun Srivastava (Continued)

September 13, 2019

Paul Hastings Wins Award for Managing Complexity and Scale and Ranked #3
in Europe for Legal Expertise by the Financial Times